Nucleosynthesis in the Early Universe

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# Outline

- Historical remarks
- Basic physics
- Predictions from theory
- Observations
- Remaining problems
- Out of dark age
- Future?



George Gamow (1904-68) Ralph Alpher (1921 - ) Robert Herman (1914-97)

Alpher, Bethe and Gamow (1948), Phys. Rev.Alpher, Herman and Gamow (1948-49), Phys Rev.





#### Alpher, Herman and Gamow:

Early universe made of neutrons  $n \rightarrow p + e + \underline{v}_e$ U will cool so that heavy elements do not disintegrate. Obs of abudances of light elements require  $N_{\gamma}/N_B = 10^9 =>$ 5K present background radiation.  Improved as regards v processes etc by C. Hayashi (1950) and Alpher, Herman and Follin Jr. (1953).



NASA G-68-10,414

# A frustrated continuation

- None of these people set out to discover the radiation (could technically have been done during the 50'ies). Alpher and Herman do not discuss it further.
- Gamow repeats the prediction but on fallacious grounds 1953. These were out for a greater goal!
- Dicke and Peebles start trying obs. in early 60:ies.
- Penzias and Wilson happen to discover it in 1965.
- Wagoner, Fowler and Hoyle make first detailed predictions of BB nucleosynthesis 1967.
- For further details, see S. Weinberg: *The first three minutes*, Chapter VI.



# Basic physics

#### (a) Dynamics of the expansion

Isotropy, homogeneity => Robertson-Walker metric:  $H^2 = [1/a (da/dt)]^2 = 8\pi/3 \text{ Gp} - k c^2/a^2 + \Lambda/3$ 

Non-relativistic conserved particles:  $n \sim a^{-3}$ Relativistic particles:  $n \sim a^{-4}$ 

Early on, radiation dominated universe:  $H^2 \approx 8\pi/3 \text{ Gp}_R$ , integrate:  $32 \pi/3 \text{ Gp}_R t^2 = 1$  (1)  $\rho_R \sim T^4 \implies T \sim t^{-1/2}$ . Note that the total number density of all relativistic particles, known and unknown, are in play here!

After 1s:  $kT \sim 1 \text{ MeV}, T \sim 10^{10} \text{ K}.$ 

# Basic physics

(b) Particles

like e<sup>-</sup>, e<sup>+</sup>,  $\nu_e$ ,  $\underline{\nu}_e$ ,  $\gamma$ , a few p, n, ...

- $n + e^+ < --> p + \underline{v}_e$
- $n + v_e < --> p + e^{-}$
- $n < --> p + e^- + v_e$  (half life 887 s)

In equilibrium:  $N_n/N_p = \exp[-\Delta mc^2/kT] = \exp[-1.5x10^{10} \text{ K} /T]$  (2)

Equilibrium?

# Equilibrium?

Typical density of photons:  $n_{\gamma} \approx 10^{-7.5} \text{ T}^{3}(\text{MeV}) \text{ f}^{-3}$ (1f =10<sup>-13</sup> cm).

Length scale:  $l_{\gamma} \approx 300 \text{ T}^{-1} \text{ (MeV) } f \approx l_e \approx l_v$ .

Quite dilute! Nucleons (p and n) at hundred times greater distance. Causal horizon scale: 10<sup>21</sup> times larger!

Typical reaction rates (weak interaction):

 $R_{WI} \approx (T/10^{10.135} \text{ K})^5$  (3)

Thus, R<sub>WI</sub> drops very rapidly with T.

At expansion, the weak interactions are suddenly swiched

off; "neutron freeze out".

Comparison between expansion rate (1) and  $R_{WI}$  gives

freeze out around 1 MeV  $<-> 10^{10}$ K, t  $\approx 1$  s.

What is then the neutron density?

(2) =>  $N_n / N_p \approx 1/7$ .

# Another freeze out:

Weak interaction reactions also keep *neutrinos* in equilibrium; The last important reaction is

 $v + \underline{v} < --> e^- + e^+$ 

Neutrinos freeze out (decouple) at somewhat higher T (matrix element<sup>2</sup> for nuclear interaction x5 due to axial coupling), at about  $3x10^{10}$  K. Must be taken into account in detailed calculations.

*Neutrino background* forms. Electron gas keeps interacting with photons,

 $e^- + e^+ < - > \gamma + \gamma; \quad e + \gamma < - > e + \gamma.$ 

When T < 1.03 MeV, pair production stops, annihilations heat photon gas and  $T_{\gamma}/T_{\nu} \rightarrow (11/4)^{1/3}$ . Presently 400 microwave photons per cm<sup>3</sup>, 109 neutrinos per cm<sup>3</sup>.

What happens to the neutrons?

## Nuclear reactions:

Neutrons react with protons -- in time before decay?

$$n + p \rightarrow ^{2}D + \gamma$$

<sup>2</sup>D binding energy 
$$\chi = 2.225$$
 MeV

$$m_e c^2 = 0.52 \text{ MeV}; m_n - m_p = 1.31 \text{ MeV}.$$

But photon/baryon ratio is high (~ 10<sup>10</sup>) so that photons disintegrate D:s efficiently below T=2.225 MeV.

Saha equation:

 $N_d/N_p = N_n \operatorname{const} T^{-3/2} \exp(\chi/kT).$   $N_d$  stays low until crossover at about  $T \approx 10^{8.9}$  K. This is after about 3 minutes = 180 s. Exact integration (including n decay) gives  $N_n/N_p \approx 0.163 \ (\Omega_B h^2)^{0.04} \ (N_v/3)^{0.2}$  (4) What happens with the days

What happens with the deutrons?

#### Further reactions:

 $^{2}D(n, \gamma)^{3}H$ ,  $^{2}D(d, p)^{3}H$  $^{2}D(p, \gamma)^{3}He$ ,  $^{2}D(d, n)^{3}He$  $^{3}\text{He}(n, p)^{3}\text{H}$ ,  $^{3}\text{H} \rightarrow ^{3}\text{He} + e^{-} + v_{e}$  $^{3}$ He(n,  $\gamma$ ) $^{4}$ He,  $^{3}$ H(d, n) $^{4}$ He,  $^{3}$ He( $^{3}$ He, 2p) $^{4}$ He To calculate: a system of ordinary diff. equations. In equilibrium, one may show that  $N_{Z,A} = f(Z,A) T^{-3(A-1)/2} N_p^Z N_n^{(A-Z)} exp(\chi/kT)$ (5) <sup>4</sup>He is favoured by large  $\chi$ , however higher A disfavoured by A dependence of f and T term.



The evolution of nuclear abundances in the standard Big Bang model. From Burles, Nollett and Turner (1999), here assuming  $\Omega_B h^2 = 0.029$ .

#### Still further reactions:

Coulomb barriers (exp ( $\chi/kT$ ) in (5)), the cooling universe and no stable nuclei at A=5 and 8 prevent higher elements to form. However, <sup>4</sup>He(<sup>3</sup>H,  $\gamma$ )<sup>7</sup>Li <sup>4</sup>He(<sup>3</sup>He,  $\gamma$ ) <sup>7</sup>Be(e<sup>-</sup>, $\nu_e$ )<sup>7</sup>Li <sup>7</sup>Li(p,  $\alpha$ ) <sup>4</sup>He give traces of <sup>7</sup>Li and some <sup>7</sup>Be.



#### Predictions from theory and observed abundances of light elements. $N_v = 3$ .





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# $N_v?$

Increase in N<sub>v</sub> increases expansion rate ( $\rho_R$  in (1)). Then more n survive until nucleosynthesis starts => greater He abundance. Experimental limit, LEP (Cern): Z by e<sup>+</sup>e<sup>-</sup> collisions, energy width of Z => N<sub>v</sub> = 2.984 ± 0.008 (2001)

From BB + He abundance:  $N_v = 3 \pm 0.3$ . A victory (1990)!

Constraints on other particles, see below!

# Discussion of abundances: <sup>2</sup>D



- <sup>2</sup>D starts growing by  $p(n,\gamma)^2D$ , rather late due to photodisintegration
- <sup>2</sup>D is then consumed by <sup>2</sup>D+p etc.
- <sup>2</sup>D decreases in proportion to  $\eta^{-1.7}$  due to incr. two-body collisions.
- <sup>2</sup>D is not easy to observe spectroscopically, and is also destroyed in stars, but

not made!

### Observations of <sup>2</sup>D



- Only upper limit to η
- Measurement in low metallicity clouds seen against distant quasars
- <= Levshakov et al. (2002) Q 0347-3819, z<sub>abs</sub>= 3.02
- Complex velocity structures of clouds. Isotope shifts hard to distinguish from velocityshifted H.
- Conflicting results, like
   3.10<sup>-5</sup> <D/H< 4.10<sup>-5</sup> or
   10.10<sup>-5</sup> <D/H< 20.10<sup>-5</sup>

### Discussion of abundances, <sup>3</sup>He



<sup>3</sup>He is produced by D+p -> <sup>3</sup>He, then <sup>3</sup>He+n -> <sup>4</sup>He
<sup>3</sup>He rizes as <sup>4</sup>He but is always less due to lower binding energy (7.72 MeV and 28.3 Mev, respectively).

<sup>3</sup>He pressure sensitive as is <sup>2</sup>D.but less so due to higher binding energy.

<sup>3</sup>He is produced in stars by <sup>2</sup>D burning, <sup>3</sup>He + <sup>2</sup>D = unchanged.

#### Discussion of abundances, <sup>4</sup>He



High binding energy => almost all remaining n goes into <sup>4</sup>He. Simple counting arguments:

- $Y \approx 2(N_n/N_p)/[1+(N_n/N_p)] = 0.25 \text{ for } N_n/N_p = 1/7.$
- Y changes little with time in the Galaxy.

$$Y=Y_p+\delta Y/\delta Z \Delta Z$$



- I Zwicky18
   O/H ≈ 0.02 solar
- Several recent bursts, the most recent about 4 10<sup>6</sup> years ago, oldest about 500 · 10<sup>6</sup> years,

#### Discussion of abundances, <sup>4</sup>He, cont.

Y=Y<sub>p</sub>+ $\delta$ Y/ $\delta$ Z ΔZ  $\delta$ Y/ $\delta$ Z estimated from gaseous nebulae in Blue Compact Galaxies

E.g. Izotov & Thuan (2004) => $\delta Y/\delta Z = 3.7 \pm 1.2 =>$ 

 $Y_p = 0.242 \pm 0.002.$ Other groups get lower values, such as  $0.234 \pm 0.003$ , Peimbert et al. (2000)



# Discussion of abundances, <sup>7</sup>Li



At low  $\eta$  (< 3·10<sup>-10</sup>) <sup>7</sup>Li is produced by <sup>4</sup>He(<sup>3</sup>H, $\gamma$ )<sup>7</sup>Li and burned away by <sup>7</sup>Li(p, $\alpha$ )<sup>4</sup>He. For greater  $\eta$  the production channel <sup>7</sup>Be(e<sup>-</sup>,  $v_e$ ) <sup>7</sup>Li takes over when <sup>7</sup>Be becomes available. Since <sup>7</sup>Be is unstable, this works more efficiently the higher  $\eta$ 

<sup>7</sup>Li is destroyed by burning in stars at temperatures T>10<sup>6</sup> K
How much mixing of surface layers of stars?

#### The Li plateau (Spite & Spite 1982)

F. Spite and M. Spite: Lithium in Halo Stars



Fig. 5.  $N_{\rm Li}$  versus log  $T_{\rm eff}$  for old halo stars



<b>Corrections</b> to apply:		
(1) GCE/GCR	-0.11	+0.07/-0.09
2) Stellar depletion	+0.02	+0.08/-0.02
(3) $T_{\rm eff}$ -scale zeropoint	+0.08	$\pm 0.08$
(4) 1-D model atmosphere	0.00	+0.10/-0.00
(5) model temperature gradients	<b>0.00</b>	+0.08/-0.00
(6) NLTE	-0.02	$\pm 0.01$
(7) gf values	0.00	± 0.04
(8) anomalous/pathological obj.	0.00	$\pm 0.01$
Total correction	-0.03	+0.19/-0.13

**Predicted abundance:**  $A(Li) = 2.6 \pm 0.02$ **Observed mean** LTE abundance  $\langle A(Li)_{-2.8} \rangle = 2.12 \pm 0.02$ 

[nferred primordial abundance

*A*(Li) 2.09 +0.19/-0.13 Ryan (2005)

Even a <sup>6</sup>Li plateau??

## Errors in predictions?

- 0.4% for Y (coming from n half life 887±2 s)
- ~ 10% for <sup>2</sup>D and 20% for <sup>7</sup>Li.
- WMAP settles parameters  $\Omega_{\rm B}{=}0.0224{\pm}0.0009 \Longrightarrow {\rm D/H}_{\rm pred} = 2.6 {\pm}0.2 {\cdot}10^{-5}$  somewhat less than observed.

#### Comparison to observ.



Is there a unique value of η where predictions agree with observations?

Does this value agree with  $\eta(WMAP)$ ?

Observed

$$\eta = (1.7-3.9) \cdot 10^{-10} (95\% \text{ conf.})$$
  
WMAP:

$$\eta = (6.1 - 6.7) \cdot 10^{-10}$$

But systematic errors may remain in observed abundances!

# What else do we learn?

- SBB is successful

(if systematic errors explain abundances)

- Rather heavy constraints on more particles, as well as on (MeV) masses for e.g.  $N_{\tau}$ , cf. Olive et al. (2000).
- Empirical grip on a(t) during first minutes even if Robertson-Walker or GR is wrong.
- Neutrino asymmetry ( $\rho_v \neq \rho_{\underline{v}}$ )?? Strong constraints from nucleosynthesis.
- Upper limits concerning decaying massive particles X, e.g. gravitinos or NLSP (cf. Kawasaki et al., astro-ph/0408426).
   Note <sup>6</sup>Li may result for half life > 10<sup>2</sup> s!

# And what comes next in nucleosynthesis?



• WMAP polarization => re-ionization (by first stars) after about 200 million years

#### First stars?

- Cooling of gas problem -- by  $H_2$ , DH, HeH etc.
- Limits masses to at least 100 M<sub>sun</sub>?
- What remains? What SNe? Pair-instability SNe??  $\gamma \rightarrow e^+ + e^- \rightarrow \nu_e + \underline{\nu}_e$

Search the most metal-poor stars!

We have found low-mass stars with  $\varepsilon(Fe) \approx 10^{-5} \varepsilon(Fe)_{Sun}$ 

Quite odd chemical composition.

May reflect the nucleosynthesis of the first stars.



 Fall-back (low energy) SNe, 3 free parameters! Mass = 25 M<sub>sun</sub>. Not understood yet!

With the end of Dark Age starts NON-LINEAR processes: the Astrophysical World!